

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

22386 U.S. PTO  
10/6652009  
09/22/03



|   |  |  |
|---|--|--|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> |  | Attorney Docket No. <b>21806-00056-US1</b>   |
|   |  | First Inventor <b>Robert J. Devins</b>   |
|   |  | Title <b>METHOD AND SYSTEM FOR GRAPHICS RENDERING USING HARDWARE-EVENT-TRIGGERED EXECUTION OF CAPTURED</b> |
|   |  | Express Mail Label No. _____   |

|  |  |  |
|--|--|--|
| <b>APPLICATION ELEMENTS</b><br><small>See MPEP chapter 600 concerning utility patent application contents.</small>   |  | MS Patent Application<br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450  |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><small>(Submit an original, and a duplicate for fee processing)</small><br>2. <input type="checkbox"/> Applicant claims small entity status.<br><small>See 37 CFR 1.27.</small><br>3. <input checked="" type="checkbox"/> Specification [Total Pages <b>24</b> ]<br><small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> |  | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)<br>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> Paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul>  |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <b>5</b> ]<br>5. Oath or Declaration [Total Sheets <b>      </b> ] <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/> <small>(for continuation/divisional with Box 18 completed)</small></li> <li>i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br/> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></li> </ul>   |  | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)<br>11. <input type="checkbox"/> English Translation Document (if applicable)<br>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations<br>13. <input checked="" type="checkbox"/> Preliminary Amendment<br>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><small>(Should be specifically itemized)</small><br>15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><small>(if foreign priority is claimed)</small><br>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).<br><small>Applicant must attach form PTO/SB/35 or its equivalent.</small><br>17. <input type="checkbox"/> Other: _____ |
| 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76   |  |  |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

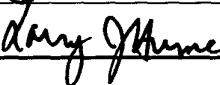
Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: **09/283,387**

Prior application information: Examiner **Ulka J. Chauhan** Art Unit: **2671**

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

|  |              |          |   |
|--|--------------|----------|---|
| <input checked="" type="checkbox"/> Customer Number: | <b>30678</b> | OR       | <input type="checkbox"/> Correspondence address below |
| Name   |              |          |   |
| Address  |              |          |   |
| City   | State        | Zip Code |   |
| Country  | Telephone    | Fax      |   |

|                   |   |                                   |                           |
|-------------------|---|-----------------------------------|---------------------------|
| Name (Print/Type) | <b>Larry J. Hume</b>  | Registration No. (Attorney/Agent) | <b>44,163</b>             |
| Signature         |  |                                   | Date                      |
|                   |   |                                   | <b>September 22, 2003</b> |

1409 U.S. PTO  
09/22/03

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003, Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 750.00)

| Complete if Known    |                       |
|----------------------|-----------------------|
| Application Number   | Not Yet Assigned      |
| Filing Date          | Concurrently Herewith |
| First Named Inventor | Robert J. Devins      |
| Examiner Name        | Not Yet Assigned      |
| Art Unit             | N/A                   |
| Attorney Docket No.  | 21806-00056-US1       |

## METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  Other  None  
 Deposit Account

Deposit Account Number 09-0456

Deposit Account Name IBM Corporation

The Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code     | Fee (\$) | Fee Code    | Fee (\$) | Fee Description        | Fee Paid |
|--------------|----------|-------------|----------|------------------------|----------|
| 1001         | 750      | 2001        | 375      | Utility filing fee     | 750.00   |
| 1002         | 330      | 2002        | 165      | Design filing fee      |          |
| 1003         | 520      | 2003        | 260      | Plant filing fee       |          |
| 1004         | 750      | 2004        | 375      | Reissue filing fee     |          |
| 1005         | 160      | 2005        | 80       | Provisional filing fee |          |
| SUBTOTAL (1) |          | (\$ 750.00) |          |                        |          |

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    |   | Extra Claims | Fee from below                                | Fee Paid |
|--------------------|---|--------------|---|----------|
| Total Claims       | 9 | -20** =      | <input type="text"/> x <input type="text"/> = | 0.00     |
| Independent Claims | 2 | -3** =       | <input type="text"/> x <input type="text"/> = | 0.00     |
| Multiple Dependent |   |              | <input type="text"/> =                        |          |

Large Entity Small Entity

| Fee Code     | Fee (\$) | Fee Code  | Fee (\$) | Fee Description  |
|--------------|----------|-----------|----------|--|
| 1202         | 18       | 2202      | 9        | Claims in excess of 20                                     |
| 1201         | 84       | 2201      | 42       | Independent claims in excess of 3                          |
| 1203         | 280      | 2203      | 140      | Multiple dependent claim, if not paid                      |
| 1204         | 84       | 2204      | 42       | ** Reissue independent claims over original patent         |
| 1205         | 18       | 2205      | 9        | ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) |          | (\$ 0.00) |          |  |

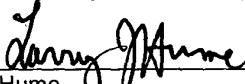
\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity Fee Code             | Small Entity Fee Code | Fee Description  | Fee Paid |
|-----------------------------------|-----------------------|--|----------|
| 1051                              | 130                   | 2051 65 Surcharge - late filing fee or oath  |          |
| 1052                              | 50                    | 2052 25 Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053                              | 130                   | 1053 130 Non-English specification   |          |
| 1812                              | 2,520                 | 1812 2,520 For filing a request for ex parte reexamination                         |          |
| 1804                              | 920*                  | 1804 920* Requesting publication of SIR prior to Examiner action                   |          |
| 1805                              | 1,840*                | 1805 1,840* Requesting publication of SIR after Examiner action                    |          |
| 1251                              | 110                   | 2251 55 Extension for reply within first month                                     |          |
| 1252                              | 410                   | 2252 205 Extension for reply within second month                                   |          |
| 1253                              | 930                   | 2253 465 Extension for reply within third month                                    |          |
| 1254                              | 1,450                 | 2254 725 Extension for reply within fourth month                                   |          |
| 1255                              | 1,970                 | 2255 985 Extension for reply within fifth month                                    |          |
| 1401                              | 320                   | 2401 160 Notice of Appeal  |          |
| 1402                              | 320                   | 2402 160 Filing a brief in support of an appeal                                    |          |
| 1403                              | 280                   | 2403 140 Request for oral hearing  |          |
| 1451                              | 1,510                 | 1451 1,510 Petition to institute a public use proceeding                           |          |
| 1452                              | 110                   | 2452 55 Petition to revive - unavoidable   |          |
| 1453                              | 1,300                 | 2453 650 Petition to revive - unintentional  |          |
| 1501                              | 1,300                 | 2501 650 Utility issue fee (or reissue)  |          |
| 1502                              | 470                   | 2502 235 Design issue fee  |          |
| 1503                              | 630                   | 2503 315 Plant issue fee   |          |
| 1460                              | 130                   | 1460 130 Petitions to the Commissioner   |          |
| 1807                              | 50                    | 1807 50 Processing fee under 37 CFR 1.17(q)  |          |
| 1806                              | 180                   | 1806 180 Submission of Information Disclosure Stmt                                 |          |
| 8021                              | 40                    | 8021 40 Recording each patent assignment per property (times number of properties) |          |
| 1809                              | 750                   | 2809 375 Filing a submission after final rejection (37 CFR 1.129(a))               |          |
| 1810                              | 750                   | 2810 375 For each additional invention to be examined (37 CFR 1.129(b))            |          |
| 1801                              | 750                   | 2801 375 Request for Continued Examination (RCE)                                   |          |
| 1802                              | 900                   | 1802 900 Request for expedited examination of a design application                 |          |
| Other fee (specify)               |                       |  |          |
| *Reduced by Basic Filing Fee Paid |                       | SUBTOTAL (3) (\$ 0.00)   |          |

| SUBMITTED BY      |                      | Complete if applicable            |                    |
|-------------------|----------------------|-----------------------------------|--------------------|
| Name (Print/Type) | Larry J. Hume        | Registration No. (Attorney/Agent) | 44,163             |
| Signature         | <i>Larry J. Hume</i> | Telephone                         | (202) 331-7111     |
|                   |                      | Date                              | September 22, 2003 |

|  |  |   |                                   |                               |      |
|--|--|---|-----------------------------------|-------------------------------|------|
| <b>AMENDMENT TRANSMITTAL LETTER</b>  |  |   |                                   | Docket No.<br>21806-00056-US1 |      |
| Application No.<br>Not Yet Assigned  | Filing Date<br>Concurrently Herewith   | Examiner<br>Not Yet Assigned            | Art Unit<br>N/A                   |                               |      |
| Applicant(s): Robert J. Devins, et al.   |  |   |                                   |                               |      |
| Invention: METHOD AND SYSTEM FOR GRAPHICS RENDERING USING HARDWARE-EVENT-TRIGGERED EXECUTION OF CAPTURED GRAPHICS HARDWARE INSTRUCTIONS  |  |   |                                   |                               |      |
| <b>TO THE COMMISSIONER FOR PATENTS</b>   |  |   |                                   |                               |      |
| Transmitted herewith is an amendment in the above-identified application.  |  |   |                                   |                               |      |
| The fee has been calculated and is transmitted as shown below.   |  |   |                                   |                               |      |
| <b>CLAIMS AS AMENDED</b>   |  |   |                                   |                               |      |
|  | Claims Remaining<br>After<br>Amendment | Highest<br>Number<br>Previously<br>Paid | Number<br>Extra Claims<br>Present | Rate                          |      |
| <b>Total Claims</b>  | 9                                      | - 20 =                                  |                                   | x                             | 0.00 |
| <b>Independent<br/>Claims</b>  | 2                                      | - 3 =                                   |                                   | x                             | 0.00 |
| <b>Multiple Dependent Claims (check if applicable)</b> <input type="checkbox"/>  |  |   |                                   |                               |      |
| <b>Other fee (please specify):</b>   |  |   |                                   |                               |      |
| <b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>  |  |   |                                   |                               | 0.00 |
| <input checked="" type="checkbox"/> Large Entity <input type="checkbox"/> Small Entity   |  |   |                                   |                               |      |
| <input checked="" type="checkbox"/> No additional fee is required for this amendment.  |  |   |                                   |                               |      |
| <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of \$ _____.<br>A duplicate copy of this sheet is enclosed.   |  |   |                                   |                               |      |
| <input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.  |  |   |                                   |                               |      |
| <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.  |  |   |                                   |                               |      |
| <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>22-0185</u><br>as described below. A duplicate copy of this sheet is enclosed. |  |   |                                   |                               |      |
| <input checked="" type="checkbox"/> Credit any overpayment.  |  |   |                                   |                               |      |
| <input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.   |  |   |                                   |                               |      |
| <br>Larry J. Hume<br>Attorney Reg. No.: 44,163  |  |   | Dated: <u>September 22, 2003</u>  |                               |      |
| CONNOLLY BOVE LODGE & HUTZ LLP<br>1990 M Street, N.W., Suite 800<br>Washington, DC 20036-3425<br>(202) 331-7111  |  |   |                                   |                               |      |